



4 / Formal  
Drawings  
E. Willis  
1-31-02

PATENT

ATTORNEY DOCKET NO. 041514-5149

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhisa ABE et al.

Application No.: 09/973,073

Filed: October 10, 2001

For: SPEAKER DIAPHRAGM



)  
)  
)  
)  
)  
)

Group Art Unit: 2837

Examiner: Unassigned

Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Filed herewith are two (2) sheets of formal patent drawings containing three (3) figures. It is requested that these drawings be approved and made part of the official record in the above-identified patent application.

If there are any fees due in connection with the filing of these drawings, please charge the fees to our Deposit Account No. 50-0310. If a fee is required for an extension of time under 37 C.F.R. 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Dated: January 4, 2002

  
John G. Smith  
Reg No. 33,818

Customer No. 009629  
**MORGAN, LEWIS AND BOCKIUS LLP**  
1800 M STREET, N.W.  
WASHINGTON, D.C. 22036-5869  
(202)467-7000

*sector*  
PATENT

ATTORNEY DOCKET NO.: 041514-5149

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhisa ABE et al.

Application No.: 09/973,073

Filed: October 10, 2001

For: SPEAKER DIAPHRAGM



Group Art Unit: 2837

Examiner: Unassigned

Commissioner of Patents

**BOX MISSING PARTS**

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed November 8, 2001.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.

The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

## 5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00
<input type="checkbox"/> five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$\_\_\_\_\_

If an additional extension of time is required, please consider this a Petition therefor.

Applicants believe that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicants hereby petition for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

## 6. Assignment

An assignment of the invention to Pioneer Corporation and Tohoku Pioneer Corporation and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

## 7. Fee Calculation

				Fee Code
			Basic Fee	\$740.00
			at a Rate of (Lg./Sm.Entity)	101/201
Total Claims	18 - 20 =		\$18/\$9 each=	\$ 103/203
Independent Claims	1 - 3 =		\$84/\$42 each=	\$ 101/202
Multiple dependent claim(s), if any			\$280/\$140	\$ 104/204
			<b>SUB-TOTAL =</b>	<b>\$740.00</b>
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))			\$130/\$65	\$130.00
				105/205
Extension Fee (37 C.F.R. § 1.17(a)(1)-(5))			\$110/\$55 (1 Month)	115/215
			\$400/\$200 (2 Months)	116/216
			\$920/\$460 (3 Months)	117/217
			\$1440/\$720 (4 Months)	118/218
			\$1960/\$980 (5 Months)	\$ 128/228
			<b>SUB-TOTAL =</b>	<b>\$870.00</b>
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d))			\$130.00	\$ 139
Assignment Recordation Fee			\$ 40.00	\$40.00
			<b>TOTAL FILING FEE =</b>	<b>\$910.00</b>

## 8. Fee Payment

Enclosed is a check in the amount of \$910.00 representing the total fee due.

The Commissioner is hereby authorized to charge the total fee due to Deposit Account 50-0310.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

## 9. Additional papers enclosed.

Preliminary Amendment

Submission of Formal Drawings with 2 sheets of drawings containing 3 figures

Claim for Priority

Certified copy of priority document: Japanese Application No. 2000-322787 filed October 23, 2000

Information Disclosure Statement

Form PTO-1449, \_\_\_\_\_ references as listed

Declaration of Biological Deposit

Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Other: \_\_\_\_\_

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

  
John G. Smith  
Reg. No. 33,818

Date: January 7, 2002

**CUSTOMER NO. 09629**

MORGAN, LEWIS & BOCKIUS LLP  
1800 M Street, N.W.  
Washington, D.C. 20036  
Telephone: (202) 467-7000  
Facsimile: (202) 467-7176



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,073	10/10/2001	Yasuhisa Abe	041514-5149

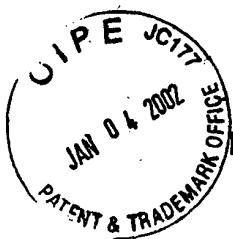
009629  
 MORGAN, LEWIS & BOCKIUS  
 1800 M STREET NW  
 WASHINGTON, DC 20036-5869

CONFIRMATION NO. 4681  
**FORMALITIES LETTER**



\*OC000000007043674\*

Date Mailed: 11/08/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 ANABI1 00000081 09973073

01 FC:101  
 02 FC:105

740.00 OP  
 130.00 OP